BEFORE THE DEPARTMENT OF TRANSPORTATION OFFICE OF THE SECRETARY WASHINGTON, DC

Application of))
ALLIANCE EXECUTIVE JETS LTD))) Docket DOT-OST-2021-
for an exemption pursuant to 49 U.S.C. § 40109 and a foreign air carrier permit pursuant to 49 U.S.C. § 41301 (EU-U.S. open-skies)	

APPLICATION OF ALLIANCE EXECUTIVE JETS LTD FOR AN EXEMPTION AND A FOREIGN AIR CARRIER PERMIT

Communications with respect to this document should be addressed to:

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Counsel for ALLIANCE EXECUTIVE JETS LTD

October 5, 2021

NOTICE:

Any person may support or oppose this application by filing an answer and serving a copy of that answer on Alliance Executive Jets Ltd and on all persons served with this application. Answers to the exemption request are due October 20, 2021, and answers to the permit request are due October 26, 2021.

BEFORE THE DEPARTMENT OF TRANSPORTATION OFFICE OF THE SECRETARY WASHINGTON, DC

Application of)
ALLIANCE EXECUTIVE JETS LTD)) Docket DOT-OST-2021
for an exemption pursuant to 49 U.S.C. § 40109 and a foreign air carrier permit pursuant to 49 U.S.C. § 41301 (EU-U.S. open-skies))))

DATED: October 5, 2021

APPLICATION OF ALLIANCE EXECUTIVE JETS LTD FOR AN EXEMPTION AND A FOREIGN AIR CARRIER PERMIT

Alliance Executive Jets Ltd ("AllianceJet" or the "Applicant") hereby applies under 49 U.S.C. §§ 41301, 41302 and 40109, Subparts B and C of the Department's Rules of Practice (14 C.F.R. §§ 302.201 and 302.301, *et seq.*), the U.S.-EU Reciprocal Recognition Procedures,¹ and the Streamlined Licensing Procedures² for an exemption and a foreign air carrier permit authorizing AllianceJet to engage in charter foreign air transportation of persons, property, and mail to and from points in the United States to the full extent permitted by its homeland operating authority and the EU-U.S. open-skies agreement,³ as well as other charters pursuant to the prior approval requirements set

¹ See DOT Notice, "Application Procedures for Foreign Air Carriers of the European Union," dated February 19, 2009.

² See DOT Notice in Docket DOT-OST-2005-22228, dated August 23, 2005. AllianceJet requests that the Department grant this application under the show-cause procedures described in that Notice by issuing a single Order (a) granting the exemption authority described in this application for a period of two years, or until the effective date of the Applicant's foreign air carrier permit, whichever occurs first, and (b) tentatively awarding the foreign air carrier permit. As soon as possible thereafter, AllianceJet requests that the Department issue a Final Order issuing AllianceJet its EU-U.S. open-skies foreign air carrier permit for a period of indefinite duration.

³ Air Transport Agreement between the United States of America and the European Community and its Member States, signed April 25 and 30, 2007, as amended by the Protocol dated June 24, 2010.

forth in the Department's regulations (14 C.F.R. Part 212), as more fully described herein.

AllianceJet is a European aircraft operator and aircraft management company associated with the Alliance Aviation Group, a flight support and ground handling services company. AllianceJet is applying for the full extent of authority available to air carriers from EU Member States under the EU-U.S. open-skies agreement so that it is able to make the aircraft in its fleet available to charter customers requesting service to and from the United States.⁴

In support of this application and in compliance with the U.S.-EU Reciprocal Recognition Procedures, AllianceJet states as follows:

1. The name and address of the applicant.

Alliance Executive Jets Ltd Level 17 Portomaso Business Tower Portomaso Avenue Portomaso St. Julians STJ4011 Malta

2. Statement of the authority sought.

AllianceJet requests issuance of an exemption and a foreign air carrier permit authorizing the Applicant to engage in the following:

- foreign charter air transportation of persons, property, and mail from any point or points behind any Member State of the European Union, via any point or points in any EU Member State and via intermediate points, to any point or points in the United States and beyond;
- foreign charter air transportation of persons, property, and mail between any point or points in the United States and any point or points in any member of the European Common Aviation Area;

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⁴ AllianceJet is currently authorized under 14 C.F.R. § 375.70 to conduct only a limited number of planeload charter flights under a DOT special authorization issued on November 10, 2020.

- foreign charter air transportation of cargo between any point or points in the United States and any other point or points;
- other charters pursuant to the prior approval requirements set forth in the Department's regulations governing charters; and
- charter transportation authorized by any additional route rights made available to European Union carriers in the future, to the extent permitted by the Applicant's homeland license on file with the Department.
- 3. Evidence of the Applicant's operating authority.

Attached as Exhibit ALE-1 is Operating Licence Number CAD/MT-11 reissued by the Transport Malta Civil Aviation Directorate ("TM CAD") on September 25, 2020, which enables AllianceJet to operate air services specified in its Air Operator Certificate ("AOC"). Attached as Exhibit ALE-2 is AOC #MT-11 reissued by the TM CAD on September 25, 2020, authorizing AllianceJet to perform passenger/cargo commercial air transport operations on a worldwide basis with aircraft specified in its operations specifications. AllianceJet has a small but growing fleet, intends to obtain appropriate operations specifications for airplanes that will be available for service to and from the United States, and will continue to update and renew its Operating Licence and AOC as necessary.

4. Name of the foreign air transport authority involved.

Transport Malta Civil Aviation Directorate Malta Transport Centre, Triq Pantar Lija, LJA 2021 Malta

5. Statement that the Applicant's insurance coverage meets or exceeds the liability limits of 14 C.F.R. Part 205.

The Applicant's insurance coverage meets or exceeds the liability limits of 14 C.F.R. Part 205, as reflected in the Certificate of Insurance for the first aircraft it will offer to the United States, attached as Exhibit ALE-3. The Certificate of

Insurance, and future Certificates of Insurance as its fleet expands, will also be filed with the FAA.

6. Copies of signed OST Form 4523 (waiver of liability limits under the Warsaw Convention).

Attached as Exhibit ALE-4 is a copy of signed OST Form 4523, which will also be filed in Docket DOT-OST-1995-236.

ADDITIONAL INFORMATION

Energy Information. In light of the on-demand nature and limited scope of its proposed business aircraft operations, the services proposed by AllianceJet will not involve a near-term net annual change in aircraft fuel consumption exceeding 10 million gallons, meaning approval of this application would not constitute a "major regulatory action" under the Energy Policy and Conservation Act, as implemented by 14 C.F.R. Part 313. The Applicant does not anticipate encountering any difficulty in obtaining adequate supplies of fuel for its proposed *ad hoc* services from existing sources.

Family Support Plan and Passenger Manifest Information. AllianceJet initially intends to provide its on-demand services solely with small business jet aircraft and thus is not submitting a plan to address the needs of families of passengers involved in aircraft accidents pursuant to 49 U.S.C. § 41313(c), or a statement summarizing how it would collect passenger manifest information and transmit it to the Department of State in the event of an aviation disaster, as required by 14 C.F.R. Part 243. In the event AllianceJet expands its fleet to include large aircraft in the future, it will make the necessary submissions to the appropriate authorities.

WHEREFORE, Alliance Executive Jets Ltd requests that the Department promptly approve this application and issue exemption authority and a foreign air carrier permit pursuant to the U.S.-EU Reciprocal Recognition Procedures and the Streamlined Licensing Procedures, as described herein, and grant such further and additional relief as the public interest may require.

Respectfully submitted,

Matthew Howell
COZEN O'CONNOR

1200 19th Street, NW Washington, DC 20036

Telephone: +1 202 912 4879 E-mail: mhowell@cozen.com

Counsel for ALLIANCE EXECUTIVE JETS LTD

Operating Licence No. CAD/MT-11

Reference: CAD/MT-11

Date of issue: 9th February, 2017

Date of re-issue: 25th September, 2020

OPERATING LICENCE

1. The Director General for Civil Aviation, pursuant to the provisions of Regulation (EC) No. 1008/2008 on common rules for the operation of air services in the Community, and being the competent authority for the granting of the Operating Licences under Section 7 of the Civil Aviation Act 1972 (Chapter 232 of the Laws of Malta) and Article 14 of the Authority for Transport in Malta Act (Chapter 499 of the Laws of Malta) hereby grants an Air Operating Licence to:

Alliance Executive Jets Ltd
Level 17
Portomaso Business Tower
Portomaso Avenue
Portomaso
St Julians STJ4011
Malta

- 2. This Operating Licence enables the holder to operate air services within the geographical limitations specified in the company's Air Operating Certificate.
- 3. The licence holder shall operate aircraft registered in Malta and/or the European Economic Area and Switzerland, which are either owned by him or which have been acquired by the licence holder under charter, lease or sub-lease, hire purchase and/or similar arrangements, and which are specified in the licence holder's Air Operator's Certificate.
- 4. The licence holder shall take out to the satisfaction of the Director General for Civil Aviation, an adequate insurance policy against any liability which may be incurred by the Company, in respect of the death or of bodily injury to any person including passengers, or the damage to any property cause by or arising from the use of aircraft.
- 5. The licence holder shall notify the Director General for Civil Aviation within fourteen days of any change in the ownership of any single shareholding that represents 10% or more of the total shareholding of the air transport undertaking or its holding company.
- 6. Subject to paragraph 6 of Section 7 of the Civil Aviation Act, 1972 this Operating Licence shall remain in force until revoked or suspended by the Director of Civil Aviation.

Captain Charles Pace

Director General for Civil Aviation





Air Operator Certificate #: MT-11



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			M				
	Types of Operation:	Commercial air	transport (CAT)	⊠ Pas	ssengers; 🛛 Cargo;	,	
		Other:					
		REPUBLIC	OF MALTA				
X	Tran	sport Malta Civ	ril Aviation Directo	rate	1	X	
	AOC #: MT-11	Alliance Exe	cutive Jets Ltd	C	Operational Points of C	ontact	
		Portomaso E	vel 17 Business Tower	C	Contact details at which Operational Management ontacted without undue of		
		Port St J	aso Avenue tomaso Julians J4011		sted in the Operations Specifications.		
1	1	N	Nalta	70		V	
1		ısiness Fax:	one: + 356 212572 + 356 212572 allianceflight.aero			1	
This certificate certifies that Alliance Executive Jets Ltd is authorised to perform conservations, as defined in the attached operations specifications, in accordance with the manual, Annex V to Regulation (EU) 2018/1139 and its Implementing acts.							
	Date of issue:	Name	: Capt. Charles	Pace			
	09-02-2017	Title:	Director Gene	ral for Ci	vil Aviation		

Signature: 25-09-2020

EASA FORM 138 Issue 2

Date of re-issue:

Page 1 of 2





TRANSPORT MALTA CIVIL AVIATION DIRECTORATE AIR OPERATOR CERTIFICATE No. MT- 11

Alliance Executive Jets Ltd

General Conditions

This certificate is granted subject to the following conditions:

- 1. A person authorised by the Director General for Civil Aviation shall have access to any premises in the occupation or control of the holder of this certificate for the purpose of examining the premises and any document, equipment, tools, material or other things of whatsoever nature, relating to the operation of aircraft hereunder kept or used or intended to be used in connection with the operation of the aircraft.
- 2. A person authorised by the Director General for Civil Aviation shall be permitted at any time to board and fly in any aircraft operated under this certificate, and to enter and remain on the flight deck; provided that the Commander of the aircraft may refuse access to the flight deck if, in his opinion, the safety of the aircraft would thereby be endangered.
- 3. A person authorised by the Director General for Civil Aviation shall be permitted to board and fly in any aircraft in which any person is given a periodical test by or on behalf of the holder of this certificate.
- 4. The holder of this certificate shall furnish to the Director General for Civil Aviation for examination a copy of every operations manual, maintenance management exposition and of all other written instructions in force concerning the operation of the aircraft under this certification and submit any changes for examination.
- 5. Every flight under this certificate shall be conducted in accordance with the provisions of the Company Operations Manual and instructions.
- 6. The Operator shall, within a reasonable time after being requested to do so by a person appointed by the Director General for Civil Aviation, produce any document required to be stored in accordance with ORO.MLR.115 and shall permit an authorised person to inspect and copy any such document.
- 7. The holder of this certificate shall give to the Director General for Civil Aviation not less than 20 days' notice in writing of any intended change in the nominated person or in their duties.
- 8. The holder of this certificate shall give to the Director General for Civil Aviation immediate notice, in writing, if the Company goes into liquidation, or is placed into receivership or administration.
- 9. The certificate shall remain valid subject to:
 - i. The holder remaining in compliance with the relevant requirements of Regulation (EU) 2018/1139 and its Implementing Acts, taking into account the provisions related to the handling of findings as specified under ORO GEN.150;
 - ii. Transport Malta Civil Aviation Directorate (TM CAD) being granted access to the holder as defined in ORO.GEN.140 to determine continued compliance with the relevant requirements of Regulation (EU) 2018/1139 and its Implementing Acts; and
 - iii. The certificate not being surrendered or revoked.
- 10. The certificate may be suspended under the provisions of Chapter 218 of the Laws of Malta.
- 11. Upon suspension, revocation or surrender the certificate shall be returned to TM CAD without delay.

Signature:

Name: Capt. Charles Pace

Title: Director General for Civil Aviation

Date: 25-09-2020

EASA FORM 138 Issue 2

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Certificate of Insurance

Office of the Secretary

of Transportation

AGENCY DISPLAY OF ESTIMATED BURDEN

The public reporting burden for this collection of information is estimated to average 30 minutes per response. If you wish to comment on the accuracy of the estimate or make suggestions for reducing this burden, please direct your comments to: U.S. Department of Transportation, Office of Aviation Analysis, X-56, 400 7th St., SW,., Washington, D.C. 20590. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NOTE: For information on where to file completed copies of this form, see FILING INSTRUCTIONS below.

OMB No. 2106-0030 Expires 9-30-2007

FOREIGN AIR CARRIERS - CERTIFICATE OF INSURANCE

POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY

FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration Air Transportation Div. AFS-260, 800

Independence EXCEPTI	e Ave., SW., Washington, I	DC 20591. (See EXCEPTION below.) If in because the insured is a <i>Canadian Charter Air Taxi</i> (•	
Department	of Transportation, Spe	cial Authorities Division (X-46), 400 7th Street, SW, Washing	gton, D.C. 205	90	
(Please type	information, except signatu	res.)			
THIS CERTI	FIES THAT: ALLIANZ	GLOBAL CORPORATE & SPECIALITY	SE		
		(Name of Insurer)			
has issued a	policy or policies of Aircraft	Liability Insurance to <u>ALLIANCE EXECUTIV</u>	E JETS L	.TD.	
Level 17, Porto		tvenue, Portomaso, ST. JULIANS - STJ 4011, MALTAFAA Certificate arme, address and FAA Certificate number of Insured Foreig			
	n <u>September 11th 20</u> coverage is received by the	21 until ten (10) days after written notice from the insur Department of Transportation.	rer or carrier of	f the intent	
NOTE: Part unacceptable		gulations does not allow for a predetermined termination d	ate, and a cert	ificate sho	wing such a date is
1. The Insu	is licensed or approved by	t insurance policies in the United States; the government of <u>GERMANY</u> to issue as	aircraft insuran	ce policies	s; or
(<u>Comple</u> A. CA The	ete applicable section A, B, NADIAN CHARTER AIR T, e aircraft covered by this po	ft in "foreign air transportation" as that term is defined in 49 or <i>C below</i>): AXI OPERATORS WITH PART 294 AUTHORITY ONLY icy have: (1) 30 or fewer passenger seats and a maximum of the weight on wheels of no more than 35,000 pounds. (Co	n payload capa	acity of 7,5	
	Separate Coverages:			Minin	num Limit
	Policy No.	Type of Liability	Each	person	Each Occurrence
		Combined Bodily Injury (Excluding Passengers other than cargo attendants) and Property Damage Liability	\$75	5,000	\$2,000,000*(See note)
		Passenger Bodily Injury	\$75,000	\$75,00	0 x 75% of total number of passenger seats installed in aircraft
	Combined Coverage: Thi stated above for bodily inj	s combined coverage is a single limit of liability for each ocury (excluding passengers), property damage, and passengers)	currence at lea ger bodily inju	ast equal to y.	the required minimums
	Policy No	Amount of C	overage		U.S. Dollars
	This policy covers CARGO	O operations only and excludes passenger liability insurance	e.		
	TE: If the aircraft covered by minimum limit per occurrer	by this policy have more than 30 passenger seats or more the shall be \$20,000,000.	han a maximu	m payload	capacity of 7,500 pounds,

			Separate Coverages:		os:		Minimum Limit			
			Policy No.	Type of Liability		Each	person	Each Occurrence		
				Combined Bodily Injury (Excluding Pass than cargo attendants) and Property Da		\$30	0,000	\$2,000,000		
			Passenger Bodily Injury		\$300,000		0 x 75% of total number of passenger seats installed in aircraft			
		X	stated above for bodily i	his combined coverage is a single limit of lia njury (excluding passengers), property dama				e required minimums		
			Policy No. DEA022	80921B	Amount of C	Coverage	300.000.0	00 U.S. Dollars		
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C.		The	e aircraft covered by this per than 18,000 pounds).	DPERATING LARGE AIRCRAFT policy are LARGE AIRCRAFT (i.e., with more (Complete separate or combined coverage a		nger seats or wi	ith a maximui	m payload capacity o		
			Separate Coverages:				Minimum L			
			Policy No.	Type of Liability		Each	person	Each Occurrence		
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				Passenger Bodily Injury		\$300,000	\$300,000	0 x 75% of total number of passenger seats installed in aircraft		
			stated above for bodily i	his combined coverage is a single limit of lia njury (excluding passengers), property dama	aged, and passe		iry.	·		
			This policy covers CAR	GO operations <i>only</i> and <i>exclude</i> s passenger	liability insurance	ce.				
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OST Form 4523



U.S. Department of Transportation

Office of the Secretary of Transportation

AGREEMENT

The undersigned carriers (hereinafter referred to as "the Carriers") hereby agree as follows:

1. Each of the Carriers shall, effective May 16, 1966, include the following in its conditions of carriage, including tariffs embodying conditions of carriage filed by it with any government:

"The Carrier shall avail itself of the limitation of liability provided in the Convention for the Unification of Certain Rules Relating to International Carriage by Air signed at Warsaw October 12th, 1929, or provided in the said Convention as amended by the Protocol signed at The Hague September 28th, 1955. However, in accordance with Article 22(1) of said Convention, or said Convention as amended by said Protocol, the Carrier agrees that, as to all international transportation by the Carrier as defined in the said Convention or said Convention as amended by said Protocol, which, according to the contract of Carriage, includes a point in the United States of America as a point of origin, point of destination, or agreed stopping place

- (1) The limit of liability for each passenger for death, wounding, or other bodily injury shall be the sum of US \$75,000 inclusive of legal fees and costs, except that, in case of a claim brought in a State where provision is made for separate award of legal fees and costs, the limit shall be the sum of US \$58,000 exclusive of legal fees and costs.
- (2) The Carrier shall not, with respect to any claim arising out of the death, wounding, or other bodily injury of a passenger, avail itself of any defense under Article 20(1) of said Convention or said Convention as amended by said Protocol.

Nothing herein shall be deemed to affect the rights and liabilities of the Carrier with regard to any claim brought by, on behalf of, or in respect of any person who has willfully caused damage which resulted in death, wounding, or other bodily injury of a passenger."

2. Each Carrier shall, at the time of delivery of the ticket, furnish to each passenger whose transportation is governed by the Convention, or the Convention as amended by the Hague Protocol, and by the special contract described in paragraph 1, the following notice, which shall be printed in type at least as large as 10 point modern type and in ink contrasting with the stock on (i) each ticket; (ii) a piece of paper either placed in the ticket envelope with the ticket or attached to the ticket; or (iii) on the ticket envelope:

"ADVICE TO INTERNATIONAL PASSENGER ON LIMITATION OF LIABILITY

Passengers on a journey involving an ultimate destination or a stop in a country other than the country of origin are advised that the provisions of a treaty known as the Warsaw Convention may be applicable to the entire journey, including any portion entirely within the country of origin or destination. For such passengers on a journey to, from, or with an agreed stopping place in the United States of America, the Convention and special contracts of carriage embodied in applicable tariffs provide that the liability of

[certain]*
[(name of carrier) and certain other] carriers parties to such special contracts for death of or personal injury to passengers is limited in most cases to proven damages not to exceed US \$75,000 per passenger, and that this liability up to such limit shall not depend on negligence on the part of the carrier. For such passengers traveling by a carrier not a party to such special contracts or on a journey not to, from, or having an agreed stopping place in the United States of America, liability of the carrier for death or personal injury to passengers is limited in most cases to approximately US \$10,000 or US \$20,000.

The names of Carriers parties to such special contracts are available at all ticket offices of such carriers and may be examined on request.

Additional protection can usually be obtained by purchasing insurance from a private company. Such insurance is not affected by any limitation of the carrier's liability under the Warsaw Convention or such special contracts of carriage. For further information please consult your airline or insurance company representative."

3. [This Agreement was filed with the Civil Aeronautics Board of the United States. The Board approved it by Order E-23680, adopted May 13, 1966. The Agreement (Agreement 18900) became effective May 16, 1966. On January 1, 1985, this Agreement became the responsibility of the Department of Transportation (DOT) by operation of law;

4. This Agreement may be signed in any number of counterparts, all of which shall constitute one Agreement. Any Carrier may become a party to this Agreement by signing a counterpart hereof and depositing it with POT.

5. Any Carrier party hereto may withdraw from this Agreement by giving twelve (12) months witten notice of withdrawal to DOT and the other Carriers parties to the Agreement.

(Signature and Date)

*Either alternative may be used.

(Printed Name and Title) Adrian Spiteri, Accountable Manager

(Name and Address of Carrier) Alliance Executive Jets Ltd

Level 17, Portomaso Business Tower, Portomaso Avenue, Portomaso, St Julians, STJ4011, Malta

OST Form 4523 (Formerly CAB Form 263)

Verification

VERIFICATION

Pursuant to Title 18 United States Code Section 1001, I, Adrian Spiteri, in my individual capacity and as the authorized representative of the pleader, have not in any manner knowingly and willfully falsified, concealed or failed to disclose any material fact or made any false, fictitious, or fraudulent statement or knowingly used any documents which contain such statement in connection with the preparation, filing or prosecution of the foregoing pleading. I understand that an individual who is found to have violated the provisions of 18 U.S.C. section 1001 shall be fined or imprisoned not more than five years, or both.

Pursuant to Title 28 United States Code Section 1746, I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Adrian Spiteri

Accountable Manager

ALLIANCE EXECUTIVE JETS LTD

Executed on: 05 October 2021

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Application of Alliance Executive Jets

Ltd for an Exemption and a Foreign Air Carrier Permit has been served this 5th day of

October, 2021, on the following individuals:

Richard Yoneoka
Acting Deputy Assistant Secretary for Transportation Affairs
Bureau of Economic and Business Affairs
Department of State
2201 C Street, NW
Washington, DC 20520
yoneokar@state.gov

Arnold Roholt
Front Line Manager
New York International Field Office, AFS-54-NYC
Federal Aviation Administration
1 Aviation Plaza, Room 504
Jamaica, NY 11434-4809
Arnold.Roholt@faa.gov

Jonathan Echmalian

gornte Elmi